

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: JEFFREY A. FREY ET AL

FOR: METHOD, SYSTEM, AND STORAGE MEDIUM FOR GOVERNING
MANAGEMENT OF OBJECT PERSISTENCE

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

The Commissioner of Patents
and Trademarks
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with the duty to disclose, applicants submit herewith a copy of each publication, excluding U.S. Patents and U.S. published patent applications, of which those designated by 37 CFR § 1.56 are aware; the publications are listed on attached form PTO-1449. The present Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other art than that identified on the attached form PTO-1449 exists.

Consideration of this Information Disclosure Statement is respectfully requested, since the Examiner may consider the information provided herein material to the patentability of the subject application as defined in 37 CFR § 1.56.

Since this Information Disclosure Statement is being filed within three months of the filing date of the subject application, no fee or certification under 37 CFR § 1.97 (e) is

required.

However, in the event the Commissioner of Patents deems that any fee is required under 37 CFR §§ 1.16 and 1.17 in connection with this application, applicants' attorneys authorize that such fee be charged to Deposit Account No. 09-0463.

Respectfully submitted,

JEFFREY A. FREY ET AL

CANTOR COLBURN LLP
Applicants' Attorneys

By: Marisa J. Dubuc
Marisa J. Dubuc
Registration No. 46,673
Customer No. 23413

Date: September 30, 2003
Address: 55 Griffin Road South, Bloomfield, CT 06002
Telephone: (860) 286-2929

INFORMATION DISCLOSURE CITATION*(Use several sheets if necessary)*ATTY DOCKET NO.
POU920030141US1SERIAL NO.
Not Yet Assigned

Jeffrey A. Frey et al

FILING
September 30, 2003GROUP
Not Yet Assigned**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,696,898	12/9/1997	Baker et al.			
	5,933,825	8/3/1999	McClaughry et al.			
	5,991,879	11/23/1999	Still			
	6,016,495	1/18/2000	McKeehan et al.			
	6,026,401	2/15/2000	Brealey et al.			
	6,108,654	8/22/2000	Chan et al.			
	US 6,247,025 B1	6/12/2001	Bacon			
	US 2002/0087736 A1	7/4/2002	Martin			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

L. Amsaleg, M. Franklin, O. Gruber, "Garbage Collection for a Client-Server Persistent Object Store", ACM Transactions on Computer Systems, Vol. 17, No. 3, August, 1999, pgs. 153-201.

A. Greenhouse, W. Scherlis, "Assuring and Evolving Concurrent Programs: Annotations and Policy", 24th International Conference on Software Engineering, May 19-25, 2002, pgs. 453-463

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION*(Use several sheets if necessary)*ATTY DOCKET NO.
POU920030141US1SERIAL NO.
Not Yet Assigned**Jeffrey A. Frey et al**FILING
September 30, 2003GROUP
Not Yet Assigned**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

			S. Inohara, Y. Shigehata, K. Uehara, H. Miyazawa, K. Yamamoto, T. Masuda, "Page-Based Optimistic Concurrency Control for Memory-Mapped Persistent Object Systems", 1995, pgs. 645-654.
			S. Ngamsuriyaroj, T. Keefe, A. Hurson, "Maintaining Consistency of the Security Policy using Timestamp Ordering", Proceedings of the International Conference on Information Technology: Coding and Computing, April 8-10, 2002, pgs. 1-7.

EXAMINER**DATE CONSIDERED**

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION*(Use several sheets if necessary)*ATTY DOCKET NO.
POU920030141US1SERIAL NO.
Not Yet Assigned**Jeffrey A. Frey et al**FILING
September 30, 2003GROUP
Not Yet Assigned**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

J. Mylopoulos, V. Chaudhri, D. Plexousakis, A. Shrufi, T. Topaloglou, "Building Knowledge Base Management Systems",
The VLBD Journal 5, pgs. 238-263, 1996

EXAMINER**DATE CONSIDERED**

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.